

U.S.P.T.O.  
 JC997 09/898896  
 07/03/01



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. TUC920010067US1

In Re Application of:

**ROBERT GEORGE EMBERTY, ET AL.**

Serial No. UNKNOWN

Filed: **FILED HEREWITH**

For: **APPARATUS AND METHOD  
FOR AUTOMATED  
INTERCONNECTION AND  
DISCONNECTION OF DISK DRIVE  
CARRIER IN A SYSTEM**

Examiner: **UNKNOWN**

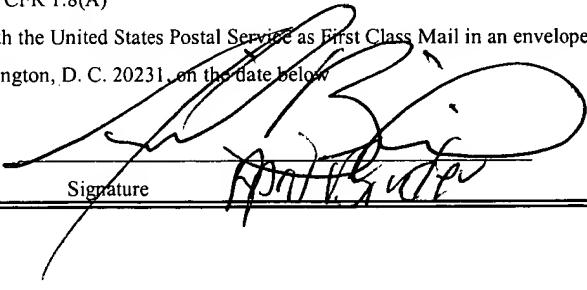
Group No. **UNKNOWN**

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

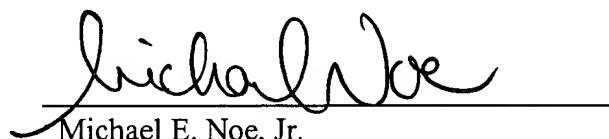
Applicant requests that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) and 1.98(a)(2)(iii) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

<b>CERTIFICATE OF MAILING</b> 37 CFR 1.8(A)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D. C. 20231, on the date below.	
<i>7/3/01</i>	
Date	Signature

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No fees are believed to be necessary. Please charge any fees that may be necessary for prosecution of the present application to IBM Corporation Deposit Account No. 09-0449.

Respectfully submitted,



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<p>Substitute form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p>				Complete if Known	
				Application Number	Unknown
				Filing Date	Herewith
				First Named Inventor	Robert George Emberty, et al..
				Group Art Unit	Unknown
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	TUC920010067US1
<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant		Date of Publication MM-DD-YYYY
	AA	5,502,811	Ripberger		03/26/1996
	AB	5,687,039	Coffin, et al.		11/11/1997
<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials	IDS Doc #	Office	Foreign Patent Document Number	Name of Patentee or Applicant	Date of Publication
<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	AC	"Optical Data Storage Library For Disks Without Cartridges Shell," IBM Technical Disclosure Bulletin, Vol. 37, No. 028, February 1994			
Examiner Signature				Date Considered	

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